

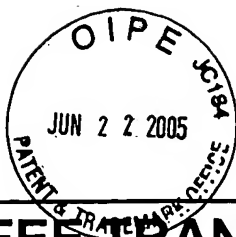
PTO/SB/21 (09-04)
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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/712,648
	Filing Date	November 12, 2003
	First Named Inventor	Jim Belcher
	Art Unit	2176
	Examiner Name	Not Yet Assigned
Total Number of Pages in This Submission	Attorney Docket Number	09386/100M230-US1

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input checked="" type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Fee Transmittal; Petition to Correct Inventorship; Statement of Jim Belcher; Statement of Shari Young; Statement of Howard Soroka; Assent of Assignee; Declaration for Patent Application; Recordation Form Cover Sheet Assignment; Certificate of Express Mailing; Return Postcard; Check for \$170.00
<div>Remarks</div>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	DARBY & DARBY P.C.		
Signature			
Printed name	Walt Thomas Zielinski		
Date	June 23, 2005	Reg. No.	18,902

Express Mail Label No.	Dated: _____
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FEE TRANSMITTAL for FY 2005

Effective 10/01/2003, Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 170.00

Complete if Known

Patent Number 10/712,648
Issued Date November 12, 2003
First Named Inventor Jim Belcher et al.
Examiner Name Not Yet Assigned
Art Unit 2176
Attorney Docket No. 09386/100M230-US1

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account:

Deposit Account Number

04-0100

Deposit Account Name

Darby & Darby P.C.

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s) to the above-identified deposit account.

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

		Extra Claims	Fee from below	Fee Paid
Total Claims		-20** =	x	=
Independent Claims		-3** =	x	=
Multiple Dependent				=

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	40.00
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
Other fee (specify)				Petition to Correct Inventorship	130.00

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

170.00

SUBMITTED BY

(Complete if applicable)

Name (Print/Type) Walt Thomas Zielinski Registration No. (Attorney/Agent) 18,902 Telephone 212.527-7772
Signature *Walt Thomas Zielinski* Date June 23, 2005



06-24-05

IFW \$

Application No. (if known): 10/712,648

Attorney Docket No.: 09386/100M230-US1

Certificate of Express Mailing Under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Airbill No. _____ in an envelope addressed to:

EL 432860041-US

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

on June 22, 2005
Date

J. Haber

Signature

J. Haber

Typed or printed name of person signing Certificate

N/A

Registration Number, if applicable

(212) 527-7700

Telephone Number

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Petition to Correct Inventorship of a Non-Provisional Application Under 37 C.F.R. 1.48(d) (2 pages)

Statement of Jim Belcher in Support of Petition Under 37 C.F.R. 1.48(a) to Correct Inventorship (3 pages)

Statement of Howard Soroka In Support of Petition Under 37 C.F.R. 1.48(a) to Correct Inventorship (3 pages)

Statement of Shari Young in Support of Petition Under 37 C.F.R. 1.48(a) to Correct Inventorship (3 pages)

Assent of Assignee in Support of Petition Under 37 C.F.R. 1.48(a) to Correct Inventorship (1 page)

In the United States Patent and Trademark Office Declaration for Patent Application (4 pages)

Assignment (2 pages); Fee Transmittal (1 page); Transmittal Form (1 page);

Recordation Form (1 page); Certificate of Express Mailing (1 page);

Check No. 8993 in the amount of \$170.00

Return Receipt Postcard



06/28/2005 WABDELRI 00000035 10712648
01 FC:1464 130.00 OP

Accompanying this petition is a statement by the inventor being added establishing that the omission occurred without deceptive intention on her part, and a declaration by the previously named inventors as required by 37 C.F.R. § 1.63 as well as a written consent of the assignee of this application

This petition is supported by the accompanying statements required by 37 C.F.R. § 1.48(a)(2):

1. Statement of Shari Young, added inventor.
2. Statement of Jim Belcher, previously named inventor.
3. Statement of Howard Soroka, previously named inventor.
4. Written consent of assignee, Universal Music Group, Inc., signed by Neil Nagano, Vice President

The appropriate fees of \$170.00 under 37 C.F.R. § 1.17(i) and for recordal of the accompanying assignment are submitted with this petition. The Commissioner is authorized to charge any deficiency or credit any excess in this fee to Deposit Account No. 04-0100.

Dated: June 23, 2005

Respectfully submitted,

By Walt Thomas Zielinski
Walt Thomas Zielinski
Registration No.: 18,902
DARBY & DARBY P.C.
P.O. Box 5257
New York, New York 10150-5257
(212) 527-7700
(212) 753-6237 (Fax)
Agent For Applicants





I

EARLIEST FOREIGN APPLICATION(S), IF ANY FILED WITHIN 12 MONTHS
(6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION

Application Number	Country	Date of Filing	Priority Claimed Under 35 USC 119
			Yes No _____
			Yes No _____
			Yes No _____

ALL FOREIGN APPLICATION(S), IF ANY FILED MORE THAN 12 MONTHS
(6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION

Application Number	Country	Date of Filing

CLAIM FOR BENEFIT OF EARLIER U.S. PROVISIONAL APPLICATIONS

I hereby claim priority benefits under Title 35, United States Code §119(e), of any United States provisional patent application(s) listed below:

☐ no such U.S. provisional applications have been filed.

☒ such U.S. provisional application have been filed as follows:

Application Number	Date of Filing	Priority Claimed Under 35 USC 119
60/425,715	November 12, 2002	<div> <div>x</div> <div>Yes</div> <div>No</div> </div> <div> <div>—</div> <div></div> <div>—</div> </div>
		<div> <div></div> <div>Yes</div> <div>No</div> </div> <div> <div>—</div> <div></div> <div>—</div> </div>
		<div> <div></div> <div>Yes</div> <div>No</div> </div> <div> <div>—</div> <div></div> <div>—</div> </div>

CLAIM FOR BENEFIT OF EARLIER U.S./PCT APPLICATION(S)

I hereby claim the benefit under Title 35, United States Code, §120 of the United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose all information that is material to patentability in accordance with Title 37, Code of Federal Regulations, §1.56 which became available to me between the filing date of the prior application and the national or PCT international filing date of this application:

☒ no such U.S./PCT applications have been filed.

☐ such U.S./PCT application have been filed as follows:

Application Number	Date of Filing	Status (Patented/Pending/Abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

I hereby appoint the practitioners under Customer Number

07278

jointly, and each of them severally, my attorneys at law/patent agent(s), with full power of substitution, delegation and revocation, to prosecute this application, to make alterations and amendments therein, to receive the patent, and to transact all business in the U. S. Patent and Trademark Office connected therewith.

Please mail all correspondence to Eric A. Prager, whose address is:

Darby & Darby P.C.
P.O. Box 5257
New York, New York 10150-5257

Please direct telephone calls to: Eric A. Prager at 206-262-8907.

Please direct facsimiles to: 206-262-8901.

Full name of sole or first inventor Jim Belcher	
Sole or first inventor's signature <i>James Belcher</i>	Date <i>5/19/05</i>
Residence Santa Monica, California	
Citizenship US	
Mailing Address 1235 11th Street Santa Monica, California 90401	

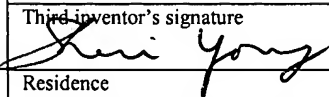
Full name of second inventor, if any Howard Soroka	
Second inventor's signature <i>Howard Soroka</i>	Date <i>May 19, 2005</i>
Residence Santa Monica, California	
Citizenship US	
Mailing Address 2920 17th Street Santa Monica, California 90405	

Full name of third inventor, if any Shari Young	
Third inventor's signature	Date
Residence Van Nuys, California	
Citizenship US	
Mailing Address 14154 Cohasset Street Van Nuys, California 91405	

Full name of fourth inventor, if any	
Fourth inventor's signature	Date
Residence	
Citizenship	
Mailing Address	

Full name of sole or first inventor Jim Belcher	
Sole or first inventor's signature	Date
Residence Santa Monica, California	
Citizenship US	
Mailing Address 1235 11th Street Santa Monica, California 90401	

Full name of second inventor, if any Howard Soroka	
Second inventor's signature	Date
Residence Santa Monica, California	
Citizenship US	
Mailing Address 2920 17th Street Santa Monica, California 90405	

Full name of third inventor, if any Shari Young	
Third inventor's signature 	Date 5/25/05
Residence Van Nuys, California	
Citizenship US	
Mailing Address 14154 Cohasset Street Van Nuys, California 91405	

Full name of fourth inventor, if any	
Fourth inventor's signature	Date
Residence	
Citizenship	
Mailing Address	



Express Mail Label No. _____

Dated: _____

Docket No.: 09386/100M230-US1
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Jim Belcher et al.

Customer No.: 07278

Application No.: 10/712,648

Art Unit: 2176

Filed: November 12, 2003

Examiner: Not Yet Assigned

For: REMOTE INTELLIGENT CONTENT
AUTHORING AND CONVERSION SYSTEM

**STATEMENT OF JIM BELCHER IN SUPPORT
OF PETITION UNDER 37 C.F.R. 1.48(a) TO CORRECT INVENTORSHIP**

MS Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

I, Jim Belcher, hereby declare and state as follows:

1. I am a citizen of USA and am more than twenty-one (21) years of age. I presently reside in Santa Monica, California 90401 at the address 1235 11th Street.
2. I have been advised by counsel, and therefore believe, that I am a co-inventor of the subject matter claimed in the above-captioned patent application, along with Howard Soroka and Shari Young.

3. I have also been advised by counsel, and therefore believe, that this application was originally filed without naming Shari Young as a co-inventor. In particular, I understand that the application was filed naming only my co-inventor Howard Soroka.
4. I understand from counsel, and therefore also believe, that a Petition to Correct Inventorship is being submitted in connection with this application, requesting that Shari Young be included, along with the names of Jim Belcher and Howard Soroka, as co-inventor of this application. I am making this declaration in support of that Petition to Correct Inventorship.
5. I declare that the omission of Shari Young's name as a co-inventor of this application occurred without any deceptive intent on my part.
6. I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful statements and the like so made are punishable by fine or imprisonment or both under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: 5-19-65


Jim Belcher, Co-Inventor



Express Mail Label No. _____

Dated: _____

Docket No.: 09386/100M230-US1
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Jim Belcher et al.

Customer No.: 07278

Application No.: 10/712,648

Art Unit: 2176

Filed: November 12, 2003

Examiner: Not Yet Assigned

For: REMOTE INTELLIGENT CONTENT
AUTHORING AND CONVERSION SYSTEM

**STATEMENT OF HOWARD SOROKA IN SUPPORT
OF PETITION UNDER 37 C.F.R. 1.48(a) TO CORRECT INVENTORSHIP**

MS Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

I, Howard Soroka, hereby declare and state as follows:

1. I am a citizen of USA and am more than twenty-one (21) years of age. I presently reside in Santa Monica, California 90405 at the address 2920 17th Street.
2. I have been advised by counsel, and therefore believe, that I am a co-inventor of the subject matter claimed in the above-captioned patent application, along with Jim Belcher and Shari Young.

3. I have also been advised by counsel, and therefore believe, that this application was originally filed without naming Shari Young as a co-inventor. In particular, I understand that the application was filed naming only my co-inventor Jim Belcher and myself.
4. I understand from counsel, and therefore also believe, that a Petition to Correct Inventorship is being submitted in connection with this application, requesting that Shari Young be included, along with the names of Jim Belcher and Howard Soroka, as co-inventor of this application. I am making this declaration in support of that Petition to Correct Inventorship.
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6. I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful statements and the like so made are punishable by fine or imprisonment or both under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: May 19, 2005


Howard Soroka, Co-Inventor




{W:\09386\100m230us1\00211571.DOC }

3. I have also been advised by counsel, and therefore believe, that this application was originally filed without naming me as a co-inventor. In particular, I understand that the application was filed naming only my co-inventors Jim Belcher and Howard Soroka.
4. I understand from counsel, and therefore also believe, that a Petition to Correct Inventorship is being submitted in connection with this application, requesting that my name be included, along with the names of Jim Belcher and Howard Soroka, as co-inventor of this application. I am making this declaration in support of that Petition to Correct Inventorship.
5. I declare that the omission of my name as a co-inventor of this application occurred without any deceptive intent on my part.
6. I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful statements and the like so made are punishable by fine or imprisonment or both under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date:

5/25/05


Shari Young, Co-Inventor